



RMI- Responsible Mineral Initiative Mineral Due Diligence with RMAP

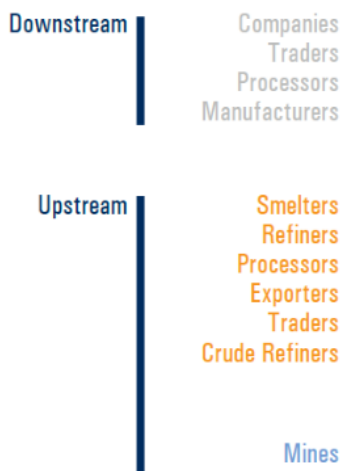
RMI provides companies with tools and resources to make sourcing decisions that improve regulatory compliance and support responsible sourcing of minerals from conflict-affected and high-risk areas.

The flagship program of the RMI, the Responsible Minerals Assurance Process (RMAP) takes a unique approach to helping companies make informed choices about responsibly sourced minerals in their supply chains. Focusing on a “pinch point” (a point with relatively few actors) in the global metals supply chain, the RMAP uses an independent third-party assessment of smelter/refiner management systems and sourcing practices to validate conformance with RMAP standards. The assessment employs a risk-based approach to validate smelters' company-level management processes for responsible mineral procurement.

As of Now RMI Covers the following minerals

- Cobalt
- Gold
- Tantalum
- Mica
- Tin
- Tungsten

TO WHOM IT IS ADDRESSED





WHAT IS RMAP

RMAP Is the validation process that reviews a smelter's supply-chain due diligence activities of all applicable raw material inputs and assesses their alignment with the five-step framework of the OECD Guidance.

It includes an analysis of the smelter's:

- Internal Management Systems, including:
 - Responsible Sourcing Policy
 - Management Structure
 - Internal Material Control Systems
 - Supplier Engagement
 - Supply Chain Control and Transparency System, including sourcing traceability and origin determination.
- Identification and assessment of supply-chain risk(s).
- Risk management program and related activities.
- Public reporting on supply-chain due diligence.

WHY IS RMAP IMPORTANT? WHAT RISKS ARE COVERED BY RMAP?

Companies must address all OECD Annex II risks, which are those commonly associated with the extraction, transportation and trade of minerals from CAHRAs:

- Any forms of torture, cruel, inhuman and degrading treatment;
- Any forms of forced or compulsory labor;
- The worst forms of child labor;
- Other gross human rights violations and abuses such as widespread sexual violence;
- War crimes or other serious violations of international humanitarian law, crimes against humanity or genocide;
- Direct or indirect support to non-state armed groups;
- Direct or indirect support to public or private security forces;
- Bribery and fraudulent misrepresentation of the origin of minerals;
- Money laundering;
- Non-payment of taxes, fees and royalties to governments.

BENEFITS OF RMI CERTIFICATION

"RMAP Conformant" SOR (smelter or Refiner) has successfully completed a RMAP audit and maintains good standing in the program, through a continual validation process. These SORs have the systems and processes in place to support responsible sourcing of raw materials and can provide evidence to support their sourcing activities.

If your company becomes RMAP conformant, you have

- Increased the understanding of the risks in your supply chain
- RMI member firms will prefer to work with you
- You will have Reduced risks of supply chain disruption
- Assurance of business sustainability
- Better communication in your supply chain



CERTIFICATION WITH EUROCERT

- We are international independent certification body, with offices in more than **40** countries worldwide. Certification with Eurocert means evaluation and acceptance from an accredited and recognized/well-respected Certification body
- We have a great experience in social compliance audits, holding accreditation and/or approval for conducting audits according to SA8000, Smeta (2 & 4-pillar) and ASI
- We have strong and experienced staff and associates, who as inspectors/auditors and / or technical experts carry out inspections of high added value for your company
- We make sure to keep you informed and updated of any local and/or international changes that concern your certification scope
- Our accreditation covers a wide range of standards and products, thus we are able to provide you with holistic solutions regarding management system certification

FREQUENTLY ASKED QUESTIONS

How does a RMAP Audit takes place? How much times does it takes?

A RMAP audit of a smelter or refiner consists of three main phases (typical timeline for each phase is noted):


- Pre-audit activities (14-21 calendar days):
 - Sign and return the Auditing Agreement (AA) and [the Agreement for the Exchange of Confidential Information](#) (AECI).
 - Review the [standard, accompanying documents, new smelter training and other trainings](#) available on the [eLearning academy](#).
 - Fill out and send the [pre-audit checklist](#) to the Senior Program Manager.
 - Begin to fill out the [line item summary](#) (LIS).
- Audit activities (15-45 calendar days which includes 1-5 calendar days per SOR facility for onsite third party audit):
 - Complete the LIS
 - Submit the LIS to the auditor at least 14 days before the on-site audit.
 - Begin collecting required documentation for the sampled transactions (50 percent of the transactions to be sampled will be provided to the auditee before the audit. The auditee will be informed of the other 50 percent at the on-site audit).
 - On-site audit, including an opening meeting, facility tour, employee interviews, document review, and closing meeting.
 - Review the report and send feedback to the auditor.
- Post-audit activities (if needed, up to 90 calendar days):
 - Implement any corrective actions identified during the on-site audit. See the Corrective Action Program (CAP) Training available on the [eLearning Academy](#) for more information.

Does it substitute EU conflict mineral regulation?

The RMI is closely monitoring the development of the EU regulations and taking the necessary steps to enhance its programs to assure it will support compliance to the new EU law.



EUROPEAN INSPECTION AND CERTIFICATION COMPANY S.A



Helping companies make informed choices
about responsibly sourced minerals in their
supply chains.

The central graphic is a blue square containing the white circular logo of the Responsible Minerals Initiative (RMI). The logo features a central star-like symbol with four points, surrounded by the text "RESPONSIBLE MINERALS INITIATIVE" in a circular arrangement.

89 Chlois and Likovriseos Str. 144 52 Metamorfosi
Tel:++30 210 6252495, 210 6252495 Fax: ++30 210 6203018
e-mail: info@eurocert.gr web site: www.eurocert.gr